



READ INSTRUCTION / INFORMATION SHEET CAREFULLY BEFORE YOU BEGIN

TO THE DEPARTMENT OF FOOD AND AGRICULTURE: PURSUANT TO THE PROVISIONS OF CHAPTERS 6 AND 7, DIVISION 20 OF THE FOOD AND AGRICULTURAL CODE, STATE OF CALIFORNIA, APPLICANT MAKES THE FOLLOWING STATEMENTS FOR THE PURPOSE OF OBTAINING LICENSES TO CONDUCT BUSINESS IN FARM PRODUCTS. EACH INDIVIDUAL HAS THE RIGHT TO REVIEW FILES MAINTAINED ON THEM BY THE AGENCY.

Please Print or Type Characters Only in Capital Letters Using Black or Blue Ink

APPROVED

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
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BUSINESS NAME OF APPLICANT
(maximum of 34 characters will appear on license)

Sole Proprietor - As identified in government issued ID.

Corps/LLC - As identified in corporate documents.

[illegible]

1a. **DBA** (Must submit Fictitious Name Statement)

[illegible]

2. BUSINESS TELEPHONE NO.

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2a. **BUSINESS FAX NO.**

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2b. **CONTACT E-MAIL ADDRESS**

3. BUSINESS MAILING ADDRESS

ADDRESS

CITY

STATE

ZIP

4. BUSINESS LOCATION ADDRESS

PHYSICAL ADDRESS (NO P.O. Boxes)

CITY

STATE

ZIP

5. CALIFORNIA BRANCH LOCATIONS:

PHYSICAL ADDRESS (NO P.O. Boxes)

CITY

STATE

ZIP

6. **DETERMINING YOUR LICENSE FEES:** Fees are based on your annual dollar volume of CA farm product purchases, and/or broker contracts, and/or consignment returns. Principal fee is required of all applicants. Please use the table below to calculate your fees:

<u>VOLUME</u>	<u>PRINCIPAL</u>	<u>CONJUNCTIVE</u>	<u>AGENT FEE</u>	<u>LICENSE REPRINT FEE</u>
Under \$20,000	\$170	\$ 50	\$80 Each Agent	\$10
\$20,000-\$50,000	245	50		(Effective 7/1/02)
\$50,000-\$2,000,000	360	145		
\$2,000,000 and less than \$4,000,000	475	200		
\$4,000,000 and over	500	200		

Please Make Check Payable to: CDFA 90295 and send to CDFA-MEB, 1220 N Street, Sacramento, CA 95814

ENTER YOUR FEES HERE		DEPARTMENT USE ONLY	
	COLUMN 1	COLUMN 2	DOUBLE FEES
PRINCIPAL LICENSE FEE	a. _____	c. _____	PRINCIPAL LICENSE FEE _____
CONJUNCTIVE LICENSE FEE	b. _____		CONJUNCTIVE LICENSE FEE _____
AGENT FEE	\$80 X ____ = _____		AGENT FEE \$80 X ____ = _____
			TOTAL FEES DUE _____
			RECEIVED _____
			O / S _____
			RECEIVED _____
			O / S _____
Column 1 + Column 2			
TOTAL DUE			

7. **DETERMINE YOUR LICENSE CATEGORY:** Choose one or more of the following categoriesPRODUCE DEALERS ACT = ☐ Cash Buyer ☐ Dealer ☐ Broker ☐ Commission MerchantPROCESSORS LAW = ☐ Processor ☐ Processor Cash Buyer8. **BUSINESS STRUCTURE** (CHECK ONE)☐ Sole Proprietor ☐ Partnership ☐ Corporation* ☐ Limited Liability Company* Other _____
(Includes Husband/Wife)***Limited Liability Companies or Corporations not registered with the California Secretary of State must attach their Articles of Incorporation and registration from its state or country of incorporation (Ref. Section 1701.2 (c)3 CCR)**

8a. Corp or LLC No.	8b. State Registered	8c. Date Registered	8d. Federal Tax Identification Number
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8e. AGENT FOR SERVICE OF SUMMONS (Non-California based limited liability companies or corporations must provide information below for the agent for service of summons listed with the California Secretary of State.)

Full name of agent	Business Address of Agent	Date of Birth	Drivers License No.
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9. **RESPONSIBLE PARTIES**

INDIVIDUALS, PARTNERS, MEMBERS OF LLC's, OR CORPORATE OFFICERS MUST COMPLETE THE FOLLOWING: (If a partner, LLC or Corporation is listed, you must also identify the individual partner, member or officer of that entity.) If additional space is required, attach a separate sheet.

FULL NAME	TITLE	HOME ADDRESS (PHYSICAL ADDRESS, CITY, STATE & ZIP)	DATE OF BIRTH	SOCIAL SECURITY NO.	DRIVERS LICENSE NO.

9a. **FINANCIAL INTEREST**

LIST ALL INDIVIDUALS OR ENTITIES THAT HAVE OWNERSHIP OF AT LEAST 10% OF THE SHARES OF A CORPORATION OR AT LEAST 10% OF THE EQUITY OF A PARTNERSHIP OR LIMITED LIABILITY COMPANY. (If additional space is needed, attach a separate sheet or list on page 4)

FULL NAME	TITLE	INTEREST %	HOME ADDRESS (PHYSICAL ADDRESS, CITY, STATE & ZIP)	DATE OF BIRTH	SOCIAL SECURITY NO.	DRIVERS LICENSE NO.

10. **SCHEDULE OF CHARGES** (All Brokers and/or Commission Merchants must complete. If additional space is needed attach a separate sheet.)

STYLE OF CONTAINER (box, carton etc.)	COMMODITY	COMMISSION / BROKERAGE RATE OR % PER PACKAGE

10a. **SERVICE CHARGES:**

STYLE OF CONTAINER (box, carton, etc.)	COMMODITY	PACKING	PRECOOLING & PALLETIZING	TYPE OF SERVICE	CHARGE

11. HAVE YOU OR ANY PRINCIPALS OF YOUR COMPANY EVER HAD LICENSES ISSUED BY THE DEPARTMENT OF FOOD AND AGRICULTURE? YES ☐ NO ☐
12. DO YOU OWE ANY CALIFORNIA FARM PRODUCT CREDITORS SUMS OVER 30 DAYS OR BEYOND THE PAYMENT TERMS? YES ☐ NO ☐
13. HAVE YOU OR YOUR PARTNER FILED BANKRUPTCY IN THE PAST 4 YEARS? IF YES, PLEASE INDICATE CASE NUMBER BELOW. YES ☐ NO ☐
14. HAVE YOU OR YOUR PARTNER BEEN CONVICTED OF A CRIME THAT INCLUDES AS ONE OF ITS ELEMENTS THE FINANCIAL VICTIMIZATION OF ANOTHER PERSON? YES ☐ NO ☐
15. IN THE PAST 12 MONTHS, OR IN THE NEXT 12 MONTHS, HAVE YOU OR DO YOU INTEND TO REQUEST PRODUCERS TO WAIVE OR SUBORDINATE THE PRODUCER'S LIEN? (ref. Section 55631 /55633 /55638) YES NO
16. IF YOU ANSWER YES TO ANY OF THE ABOVE, PLEASE EXPLAIN BELOW.

17. IN ORDER FOR THE DEPARTMENT TO DETERMINE IF A LICENSE IS REQUIRED, PLEASE BRIEFLY DESCRIBE YOUR BUSINESS OPERATION.

18. WHEN WILL OR DID YOU BEGIN OPERATIONS REQUIRING A PDA/PL LICENSE?

DATE: _____

19. NOTICE OF CREDIT AND CRIMINAL INFORMATION: (PLEASE READ AND INITIAL BOTH STATEMENTS BELOW. YOUR INITIALS INDICATE YOU UNDERSTAND AND AGREE.

APPLICANT UNDERSTANDS THAT THE DEPARTMENT MAY ACCESS ANY AND ALL INFORMATION FROM CREDIT REPORTING AGENCIES AND ALL CRIMINAL RECORD INFORMATION IN CONSIDERATION OF THIS APPLICATION. (ref. Section 56183.5 /55523)

INITIAL

LICENSE AFFIDAVIT:

APPLICANT WILL ABIDE BY ALL PROVISIONS OF DIVISION 20, CHAPTERS 6 & 7 OF THE CALIFORNIA FOOD AND AGRICULTURAL CODE. APPLICANT WILL PREPARE AND RETAIN ADEQUATE FINANCIAL RECORDS TO DOCUMENT ALL TRANSACTIONS BETWEEN APPLICANT AND FARM PRODUCT GROWERS AND LICENSEES AND FINANCIAL INFORMATION WHICH FAIRLY PRESENTS MY FINANCIAL CONDITION AS OF THE END OF MOST RECENT FISCAL YEAR. APPLICANT IS CURRENT IN MAKING ALL PAYMENTS REQUIRED UNDER UNDISPUTED CONTRACT AGREEMENTS WITH CALIFORNIA FARM PRODUCT GROWERS AND LICENSEES. (ref. Section 56189 /55523.1)

INITIAL

20. PLEASE READ AND INITIAL BELOW IF YOU ARE APPLYING AS A CASH BUYER IN QUESTION #7:

STATEMENT FOR CASH BUYER:

APPLICANT UNDERSTANDS THAT "CASH" MEANS COIN OR CURRENCY OF THE UNITED STATES AND DOES NOT INCLUDE A CHECK OR MONEY ORDER. TO PAY CALIFORNIA LICENSEES OR PRODUCERS FOR FARM PRODUCTS ON ANY OTHER BASIS SUCH AS CREDIT, CHECKS OR OTHER NEGOTIABLE PAPER, WOULD BE IN VIOLATION OF THE FOOD AND AGRICULTURAL CODE. (ref. Section 56104 / 55402.5)

INITIAL

SIGNATURE REQUIRED ON LAST PAGE

21. CALIFORNIA FARM PRODUCTS HANDLED

PLEASE CHECK ALL OF THE CALIFORNIA FARM PRODUCTS YOU WILL BE HANDLING. IF A PRODUCT YOU WILL BE HANDLING IS NOT LISTED, PLEASE WRITE IN THE COMMODITY IN THE SPACE PROVIDED UNDER MISCELLANEOUS.

<input type="checkbox"/> APIARY	<input type="checkbox"/> HAY/STRAW	<input type="checkbox"/> SEEDS
<input type="checkbox"/> BERRIES	<input type="checkbox"/> HEMP	<input type="checkbox"/> Flower
<input type="checkbox"/> Blackberries	<input type="checkbox"/> HERBS (Fresh/Dried)	<input type="checkbox"/> Grain
<input type="checkbox"/> Raspberries	<input type="checkbox"/> HIDES (Animal)	<input type="checkbox"/> Vegetable
<input type="checkbox"/> Strawberries	<input type="checkbox"/> HULLS	<input type="checkbox"/> VEGETABLES
<input type="checkbox"/> CITRUS	<input type="checkbox"/> Almond	<input type="checkbox"/> Artichokes
<input type="checkbox"/> Grapefruit	<input type="checkbox"/> Rice	<input type="checkbox"/> Asian
<input type="checkbox"/> Lemons	<input type="checkbox"/> LIVESTOCK	<input type="checkbox"/> Asparagus
<input type="checkbox"/> Oranges	<input type="checkbox"/> Cattle/Calves	<input type="checkbox"/> Beans (Fresh/Dried)
<input type="checkbox"/> COTTON	<input type="checkbox"/> Goats	<input type="checkbox"/> Beets
<input type="checkbox"/> CUT FLOWERS	<input type="checkbox"/> Hogs	<input type="checkbox"/> Broccoli
<input type="checkbox"/> DRIED FRUIT	<input type="checkbox"/> Horses	<input type="checkbox"/> Brussels Sprouts
<input type="checkbox"/> EGGS	<input type="checkbox"/> Rabbits	<input type="checkbox"/> Cabbage
<input type="checkbox"/> FRUITS	<input type="checkbox"/> Sheep	<input type="checkbox"/> Carrots
<input type="checkbox"/> Apples	<input type="checkbox"/> MANURE	<input type="checkbox"/> Cauliflower
<input type="checkbox"/> Apricots	<input type="checkbox"/> MELONS	<input type="checkbox"/> Celery
<input type="checkbox"/> Avocados	<input type="checkbox"/> Cantaloupe	<input type="checkbox"/> Chards
<input type="checkbox"/> Cherries	<input type="checkbox"/> Honeydew	<input type="checkbox"/> Corn
<input type="checkbox"/> Figs	<input type="checkbox"/> Watermelon	<input type="checkbox"/> Cucumbers
<input type="checkbox"/> Kiwifruit	<input type="checkbox"/> NURSERY STOCK	<input type="checkbox"/> Egg Plant
<input type="checkbox"/> Nectarines	<input type="checkbox"/> NUTS	<input type="checkbox"/> Garlic
<input type="checkbox"/> Peaches	<input type="checkbox"/> Almonds	<input type="checkbox"/> Greens
<input type="checkbox"/> Pears	<input type="checkbox"/> Pistachios	<input type="checkbox"/> Lettuce (Iceberg)
<input type="checkbox"/> Persimmons	<input type="checkbox"/> Walnuts	<input type="checkbox"/> Lettuce (Leaf)
<input type="checkbox"/> Plums	<input type="checkbox"/> ORGANIC	<input type="checkbox"/> Mushrooms
<input type="checkbox"/> Pomegranates	<input type="checkbox"/> POULTRY	<input type="checkbox"/> Onions
<input type="checkbox"/> Prunes	<input type="checkbox"/> Chickens	<input type="checkbox"/> Parsley
<input type="checkbox"/> GRAINS	<input type="checkbox"/> Turkeys	<input type="checkbox"/> Peas
<input type="checkbox"/> Corn		<input type="checkbox"/> Peppers (Bell, Chili)
<input type="checkbox"/> Oats		<input type="checkbox"/> Potatoes
<input type="checkbox"/> Rice		<input type="checkbox"/> Pumpkins
<input type="checkbox"/> Wheat		<input type="checkbox"/> Radishes
<input type="checkbox"/> GRAPES		<input type="checkbox"/> Rutabaga
<input type="checkbox"/> Table Grapes		<input type="checkbox"/> Snow Peas
<input type="checkbox"/> Wine Grapes		<input type="checkbox"/> Squash (Summer/Winter)
		<input type="checkbox"/> Spinach
		<input type="checkbox"/> Tomatoes
		<input type="checkbox"/> Turnips
		<input type="checkbox"/> MISCELLANEOUS

APPLICATION MUST BE SIGNED BY AN AUTHORIZED REPRESENTATIVE LISTED IN ITEM 9 (Owner or Partner, Member or Officer)

SIGNATURE OF APPLICANT

PRINT OR TYPE NAME

TITLE

DATE

The Department of Food and Agriculture has established time periods for the processing of permit applications, in compliance with Government Code Section 15374-15378. Failure to comply with these time periods may be appealed to the Secretary of Food and Agriculture, 1220 N Street, Sacramento, CA 95814, pursuant to regulations set forth in Title 3, California Code of Regulations, Section 301. Under certain circumstances, the Secretary may order that the applicant receives a reimbursement of filing fees.